In Place of FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE

**STATEMENT** 

Serial No. 10/042,414 Applicant: Calderaro Filing Date: 1/8/2002

Group: <u>3621</u>

Atty. Docket No. <u>AUS920010786US1</u>

U. S. PATENT DOCUMENTS											
Examiner Initial		Document Number	Date	Name	Classification Class / Subclass	Filing Date if Appropriate					
KA	AA	6,332,125	12-2001	Callen et al.	705/4 705/11 705/11 705/001 705/001 705/001 705/4						
	AB	2003/0130885	07-2003	Calderaro et al. Calderaro et al. Schwerin-Wenzel et al. Schwerin-Wenzel et al.							
	AC	2003/0130886	07-2003								
	AD	2004/0181425	09-2004								
	ΑE	2004/0220825	11-2004								
	AF	2004/0254805	12-2004	Schwerin-Wenzel et al.							
<u> </u>	AG	6,944,597	09-2005	Callen et al.							
	AH			•							
	ΑI										
	AJ	•	•		•						
	AK			•							
	AL										
				7414							
FOREIGN PATENT DOCUMENTS											
			<b>FOREIGN</b>	PATENT DOCUMENTS							
Examiner <u>Initial</u>		Document <u>Number</u>		PATENT DOCUMENTS  Pate Country	Classification	Translation Yes No					
	AM				Classification	Translation Yes No					
	AM AN				Classification						
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	AN				Classification						
	AN AO				Classification						
	AN AO	<u>Number</u>	D			Yes No					
Initial  Examiner Initial	AN AO	Number OTF	<u>D</u> HER ART (In	ecluding Author, Title, Date	e, Pertinent Pages, E	Yes No					
Initial  Examiner	AN AO AP	Number  OTF  Rama, "Efficient Pu  Haltiwanger et al.,"	HER ART (In ablic Sector D'Cross-Countr	Pate Country	e, Pertinent Pages, Et te & Development (W Retrenchment," 1999	Yes No					
Examiner Initial	AN AO AP	Number  OTF  Rama, "Efficient Pu  Haltiwanger et al.,"	HER ART (In ablic Sector D'Cross-Countr	ncluding Author, Title, Date  rownsizing," 09/1997, Finance  ry Evidence on Public Sector	e, Pertinent Pages, Et te & Development (W Retrenchment," 1999	Yes No					
Examiner Initial	AN AO AP AQ AR	Number  OTF  Rama, "Efficient Pu  Haltiwanger et al.,"	HER ART (In ablic Sector D'Cross-Countr	ncluding Author, Title, Date  rownsizing," 09/1997, Finance  ry Evidence on Public Sector	e, Pertinent Pages, Et te & Development (W Retrenchment," 1999	Yes No					
Examiner Initial	AN AO AP AQ AR AS	Number  OTF  Rama, "Efficient Pu  Haltiwanger et al.,"	HER ART (In ablic Sector D'Cross-Countr	ncluding Author, Title, Date  rownsizing," 09/1997, Finance  ry Evidence on Public Sector	e, Pertinent Pages, Et te & Development (W Retrenchment," 1999	Yes No					
Examiner Initial	AN AO AP AQ AR AS AT	Number  OTF  Rama, "Efficient Pu  Haltiwanger et al.,"	HER ART (In ablic Sector D'Cross-Countr	ncluding Author, Title, Date  rownsizing," 09/1997, Finance  ry Evidence on Public Sector	e, Pertinent Pages, Et te & Development (W Retrenchment," 1999	Yes No					

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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			U. S. PATEN	T DOCUMENTS						
Examiner <u>Initial</u>		Document <u>Number</u>	Date	Name	Classification Class / Subclass	Filing Date if Appropriate				
	AA	·		·						
	AB									
	AC	•								
	AD									
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	AG									
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		<u>F</u>	OREIGN PAT	ENT DOCUMENT	<u>'S</u>					
Examiner		Document				Translation				
<u>Initial</u>		<u>Number</u>	<u>Date</u>	Country	<b>Classification</b>	Yes No				
K./1	AM	WO 9526535 A1	10-1995	PCT	G06F 17/60					
	AN									
	AO									
	AP				·					
				4						
Examiner Initial		OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
KA	ĄQ	DeWitt, "The Structural Consequences of Downsizing," 2/1993, Organizational Science, Vol. 4, No. 1, pp. 30-40.								
	AR	Davidson, "Early Retirement Programs and Firm Performance," 12/1996, Academy of Management Journal, vol. 39, No. 4, pp. 970-984.								
	AS	, , , , , , , , , , , , , , , , , , ,	PP							
	AT				•					
	AU	1	, ,							
Examiner:		1	M.	Date Considered:	6-4-07	:				
EXAMINER: Initial it reference considered whether or not citation is in conformance with MRED 600. Denue time										

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Serial No. 10/042,414

Applicant: Calderaro, et. al.

Filing Date: 1/8/2002

Group: 3621

Atty. Docket No. <u>AUS920010786US1</u>

## LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

**U. S. PATENT DOCUMENTS** Examiner Document Classification Filing Date if **Initial** Number Class / Subclass **Appropriate** Date Name AA AB FOREIGN PATENT DOCUMENTS Examiner Document Translation Initial Number Date Country Classification Yes No AC AD Examiner OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) **Initial** KΔ AΕ ANPAS System (11 pages), www.webarchive.org, April 2001. AF Blackwell, "Job performance would decide raise," Richmond Times-Dispatch, May 7, 1997. AG Campbell et al., "Self appraisal in performance evaluation: development versus evaluation." The Academy of Management Review, April 1998. AH Nixon, "Corporate downsizing: the effect of implementation strategies on firm performance," Texas A&M Univ., Dec. 1995 (thesis paper) ΑI Aram et al., "An evaluation of organizational due process in the resolution of employee/employer conflict," The Academy of Management Review, April 1981. Lam et al., "Total quality management and performance appraisal: an experimental study of process AJ versus results and group versus individual approaches," Jour. of Org. Behavior, April 1999. AK Knapp, "How to set up a reduction in force program," Jour. of Org. Excellence, Autumn 2001. Kam, "Overhaul of state civil service system is sent to Gov. Bush," South Florida Sun-Sentinel, May AL 5, 2001. "India: HM's VRS Targets 6 pc Reduction in Workforce," Businessline, June 8, 2001. AM AN 6-4-07 Examiner: Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.